



CAPRICORN  
INVESTMENT  
PARTNERS



the Pentad Group



# Managed Discretionary Account Services

This is a service that is available to our clients to enable us to manage investment portfolios in a more timely and efficient manner.

By contracting with us to provide Managed Discretionary Account (MDA) services, you give us an authority to acquire and dispose of financial products on your behalf and also to balance investments in your portfolio on an ongoing basis. Such transacting will be made in accordance with the investment program detailed in your Statement of Advice.

- This investment program will be prepared in accordance with relevant legislation and will contain the following information:
- The nature and scope of the discretions that CIPL will be authorised and required to exercise;
- Any significant risks associated with the MDA contract;
- The basis on which the MDA contract is considered to be suitable for you; and
- Warnings about the importance of any limitations relating to the MDA contract which you must consider before signing the MDA contract.

To receive MDA services you must first enter into an MDA contract with us before the MDA services can be provided.

## Responsibility for reviewing the investment program

We are responsible for reviewing your investment program. The frequency at which your investment program is reviewed is determined by the service level you choose. We will review your investment program at least every 12 months. You can review your investment portfolio online at any time.

## Provision of instructions on how to exercise rights relating to the financial products in your portfolio

We are obliged to pass on all the entitlements and rights to you as if you held the investments yourself. You may provide instructions via email, telephone or post.

## Significant risks associated with using an MDA service

By authorising us to make changes to your investments without seeking your prior authority, you cannot claim we were not acting on your behalf. Provided we act within the parameters of that authorisation, our acts become binding on you. Therefore it is important you understand what we are authorised to do and carefully read and understand the activities that you are authorising us to perform. These matters are discussed in the Statement of Advice that we have provided to you. You should discuss this with your advisor if you have concerns or require further information.

**Phone** 1800 804 431  
**Email** [clientinfo@pentad.com.au](mailto:clientinfo@pentad.com.au)  
**Web** [www.capinvest.com.au](http://www.capinvest.com.au)

**Rockhampton**  
1800 679 000  
[enquiries@capinvest.com.au](mailto:enquiries@capinvest.com.au)

### Capricorn Investment Partners

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